

Docket No.: E3331.0601
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
James Sinclair et al.

Application No.: 10/694,758

Confirmation No.: 5750

Filed: October 29, 2003

Art Unit: 3693

For: ELECTRONIC TRADING SYSTEM HAVING
INCREASED LIQUIDITY PROVISION

Examiner: Jessica Lemieux

AMENDMENT/SUBMISSION AND REQUEST FOR INTERVIEW

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

Before issuance of the next Office Action, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 23 of this paper.